



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Toshiro HAYAKAWA

Appln. No.: 09/840,025

Group Art Unit: 2881

Confirmation No.: 4254

Examiner: Not Yet Assigned

Filed: April 24, 2001

For: SEMICONDUCTOR LASER MODULE HAVING OPTICAL WAVELENGTH  
CONVERSION ELEMENT AND SEMICONDUCTOR LASER ELEMENT WHICH  
INCLUDES QUANTUM-WELL SUBLAYERS HAVING DIFFERENT THICKNESS  
AND/OR COMPOSITIONS

**SUBMISSION OF EXECUTED DECLARATION, ASSIGNMENT & FILING FEE**

**ATTN: BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

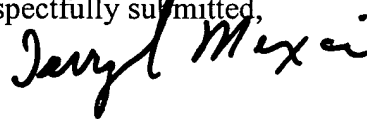
In response to the "Notice to File Missing Parts of Nonprovisional Application filed  
under 37 CFR 1.53(b) - Filing Date Granted," mailed June 26, 2001, for the above application,  
Applicant respectfully submits herewith the Declaration for the above identified application  
properly executed by the inventors. Also enclosed please find Information Disclosure Statement  
and PTO Form 1449 w/ references, an executed Assignment and PTO Form 1595.

Checks for the statutory fee of \$1,334.00 and Assignment recordation fee of \$40.00 are  
attached. Please also charge any underpayment or credit any overpayment to Deposit Account  
No. 19-4880. A duplicate copy of this paper is attached.

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USSN 09/840,025  
Q64226

The executed documents are being filed on Monday, August 27, 2001. Since the due date for filing these documents fell on a Sunday, the filing of the documents on August 27, 2001, is considered timely.

Respectfully submitted,



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Date: August 27, 2001

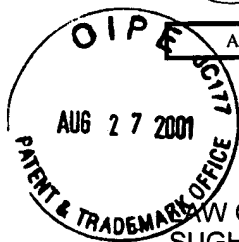
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Darryl Mexic  
Registration No. 23,063



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,025	04/24/2001	Toshiro Hayakawa	Q64226

CONFIRMATION NO. 4254

## FORMALITIES LETTER



\*OC000000006227515\*

INVENTOR OFFICES  
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WASHINGTON, DC 20037-3213

Date Mailed: 06/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/29/2001 BABRAHA1 00000099 09840025

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	414.00 OP
03 FC:102	80.00 OP
04 FC:105	130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$494.
  - \$414 for 23 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1334.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE